Antibacterial and cell penetrating-effects of LFcin17-30, LFampin265-284 and LFchimera on enteroaggregative *Escherichia coli*

Ruth Reyes-Cortes¹, Erika Acosta-Smith¹, Ricardo Mondragón-Flores², Kamran Nazmi³, Jan G.M Bolscher³, Adrian Canizalez-Roman^{1,4}, Nidia Leon-Sicairos^{1,5,*}

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9 ¹ CIASaP, Facultad de Medicina, Universidad Autónoma de Sinaloa, Culiacán Sinaloa,

10 *México*

11 ² Departamento de Bioquímica, Centro de Investigación y Estudios Avanzados del IPN. Cd

- 12 de México, México
- 13 ³ Department of Oral Biochemistry, Academic Centre for Dentistry Amsterdam, University
- 14 of Amsterdam and VU University, Amsterdam, The Netherlands
- ⁴ Departamento de Investigación, Hospital de la Mujer. Culiacán Sinaloa, México
- 16 ⁵ Departamento de Investigación, Hospital Pediátrico de Sinaloa. Culiacan Sinaloa,
- 17 México
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- 21 *Corresponding author: Nidia León-Sicairos. Email <u>nidialeon@uas.edu.mx</u>*
- CIASaP, Facultad de Medicina, Universidad Autónoma de Sinaloa, Cedros y Sauces S/N
 Fracc. Fresnos. Culiacán Sinaloa, 80246 México Telephone +52 6672278588 Fax +52 66
 7753-88-01
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33 Abstract

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Lactoferrin (LF) is a protein with antimicrobial activity, which is conferred in part by two 35 regions contained in its N-terminal lobe. These regions have been used to develop the 36 following synthetic peptides: Lactoferricin17-30, Lactoferrampin265-284 and LFchimera (a 37 fusion of Lactoferricin17-30 and Lactoferrampin265-284). We have reported that these 38 LFpeptides have antibacterial activity against several pathogenic bacteria; however, the 39 exact mechanism of action has not been established. Here, we report the effects of 40 LFpeptides on the viability of enteroaggregative Escherichia coli (EAEC) and the ability of 41 these peptides to penetrate into the bacteria cytoplasm. Materials and Methods: The 42 viability of EAEC treated with LFpeptides was determined via enumeration of colony-43 forming units, and the binding and internalization of the LFpeptides was followed via 44 immunogold labeling and electron microscopy. Results: Treatment of EAEC with 20 and 45 40 µM LFpeptides reduced bacterial growth compared to untreated bacteria. Initially the 46 47 peptides associated with the plasma membrane, but after 5 to 30 min of incubation, the 48 peptides were found in the cytoplasm. Remarkably, bacteria treated with LFchimera developed cytosolic electron-dense structures that contained the antimicrobial peptide. Our 49 50 results suggest that the antibacterial mechanism of LFpeptides on EAEC involves their 51 interaction with and penetration into the bacteria.

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56 Keywords: Lactoferrin; LFpeptides; LFchimera; EAEC; antibacterial

57 Introduction

Escherichia coli is a species of the genus Escherichia that contains mostly motile gram-58 negative bacilli that fall within the family Enterobacteriaceae (Nataro and Kaper 1998). The 59 organism typically colonizes the infant gastrointestinal tract within the first hours of life, 60 and thereafter, E. coli and the host derive mutual benefit for decades (Kaper 2005; Kaper et 61 al. 2004). Among the *E. coli* that cause intestinal diseases, there are six well-described 62 categories: enteroaggregative E. coli (EAEC), diffusely adherent E. coli (DAEC), 63 enteroinvasive E. coli (EIEC), enteropathogenic E. coli (EPEC), enterohemorrhagic E. coli 64 (EHEC) and enterotoxigenic E. coli (ETEC) (Kaper 2005; Kaper et al. 2004). 65

EAEC is recognized as an emerging cause of diarrhea and has been implicated in 66 acute and chronic diarrhea among travelers, children, adults, and HIV-infected persons in 67 both industrialized and developing countries (Ahmed et al. 2014; Hebbelstrup Jensen et al. 68 2014; Weintraub 2007). To cause diarrheal disease, EAEC adheres to the intestinal mucosa, 69 forms a mucoid biofilm and induces toxic effects on the intestinal mucosa that result in 70 diarrhea. The exact pathogenic mechanism is not fully understood; however, adhesins, 71 toxins and several other factors have been implicated (Flores and Okhuysen 2009). The 72 clinical presentation of EAEC infection is characterized by watery diarrhea, usually 73 unaccompanied by blood or mucus. Travelers to endemic countries, such as Jamaica, India, 74 75 Mexico, etc., are at risk for EAEC infection (Hebbelstrup Jensen et al. 2014; Weintraub 2007). Many EAEC infections are self-limited, but symptomatic infections are usually 76 treated empirically because laboratory diagnosis is not routinely available (Adachi et al. 77 2001). EAEC susceptibility varies by region. In most regions, EAEC strains are susceptible 78 to fluoroquinolones, azithromycin, rifaximin, amoxicillin/clavulanic acid, and nalidixic 79 acid; however, there have been reports of EAEC resistance to ampicillin, tetracycline, 80

trimethoprim, sulfamethoxazole, and chloramphenicol (Canizalez-Roman et al. 2013; Kaur 81 82 et al. 2010; Kong et al. 2015). In addition to EAEC resistant strains, host susceptibility factors to EAEC diarrheal infection has been reported. There are factors that are important 83 in determining susceptibility to EAEC infection, for example IL-8 and LF. IL-8 is a 84 proinflammatory chemokine that functions as a neutrophil chemoattractant, and LF is an 85 important element of the immune system. A single-nucleotide polymorphisms (SNPs) in 86 the IL-8 gene are associated with EAEC-related symptoms (Jiang et al. 2003). Furthermore, 87 a single nucleotide polymorphism with a T/C substitution in exon 15 (LTFEx15 codon 63288 [T/C]) of the lactoferrin gene was associated with susceptibility to inflammatory diarrhea 89 90 caused by EAEC in North Americans who were traveling in Mexico (Adachi et al. 2001; Mohamed et al. 2007). The spread of antibiotic-resistant pathogens, such as EAEC, requires 91 new treatments. As the rate of development of new antibiotics has severely declined, 92 alternatives to antibiotics must be considered in both animal agriculture and human 93 medicine (Gomez de Leon et al. 2014). In this regard, the use of human or bovine 94 lactoferrin (hLF and bLF), as well as their natural or synthetic peptides, must be considered 95 an option for the treatment of pathogens due their multiple functions and health benefits 96 (Brock 2012). 97

We have previously reported that bLF and its synthetic derived peptides, LFcin17-30,
LFampin265-284 and LFchimera (a fusion of LFcin17-30 and LFampin265-284 with a
lysine), have antimicrobial activity against *Vibrio parahaemolyticus, Staphylococcus aureus, enterohemorrhagic Escherichia coli, Streptococcus pneumoniae, Entamoeba histolytica* and *Giardia intestinalis* (Flores-Villasenor et al. 2012a; Flores-Villasenor et al.
2012b; Leon-Sicairos et al. 2014; Leon-Sicairos et al. 2009). Their mechanism of action
involves causing damage at the ultrastructural level and disrupting bacterial membranes,

105	resulting in microbial death. In bacteria, we have found that in addition to interacting with
106	the membrane and entering the cytoplasm, LF derived peptides may possibly interact with
107	internal organelles. In this work, we evaluated the ability of bLF and peptides to penetrate
108	and kill EAEC.
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129 Materials and Methods

130 Peptides, chemotherapeutic agents and antibodies

The synthetic peptides LFcin17-30, LFampin265-284 and LFchimera were obtained by 131 solid phase peptide synthesis using Fmoc chemistry, as described previously (Bolscher et 132 al. 2012; Bolscher et al. 2009). Bovine LF (bLF, 20% iron saturated, was kindly donated by 133 Morinaga Milk Industries Co (Tokyo, Japan). The purity of bLF (>98%) was checked by 134 SDS-PAGE stained with silver nitrate. bLF concentration was assessed by UV 135 spectroscopy on the basis of an extinction coefficient of 15.1 (280 nm, 1% solution). The 136 bLF iron saturation was about 20% as detected by optical spectroscopy at 468 nm on the 137 138 basis of an extinction coefficient of 0.54 (100% iron saturation). LPS contamination of bLf, estimated by Limulus Amebocyte assay (LAL Pyrochrome kit, ThermoFicherScientific, 139 Waltham, MA, USA), was equal to 0.7±0.06 ng/mg of bLF. Cecropin (C7927), magainin II 140 (M7402), rabbit-anti-LF (3262), ciprofloxacin (17850) and amikacin (1019508) were 141 purchased from Sigma Chemical Co. (ST., MO, USA). The goat-anti rabbit gold-coupled 142 antibodies were purchased to BB International (Cardiff WA, UK). Antibiotics Stock 143 solutions were prepared in phosphate-buffered saline.7.4. 144

145 Strains and cultures

Enteroaggregative *Escherichia coli* clinical and reference strains were maintained in MacConkey agar (Difco, NJ, USA.) at 37 °C for 24 h, as previously reported (Canizalez-Roman et al. 2013). For the experiments, EAEC strains were grown in LB broth at 37 °C for 18 h with shaking (200 rpm). Then, the bacteria were transferred to LB broth without iron for 2 h at 37 °C and washed twice in iron-free PBS (bacteria were pelleted by centrifugation at 4,500 x g for 5 min). Iron was removed from the media and PBS as previously was reported (Leon-Sicairos et al. 2009).

153 Antibacterial assay

To test the bactericidal activity of the synthetic LFpeptides and bovine LF, approximately 154 1x10⁷ CFU/ml of each strain was incubated in 96-well microplates (Corning NY, USA) 155 containing LB broth with 40 µM LFcin17-30, 40 µM LFampin265-284, 40 µM LFchimera, 156 or 20 µM bLF. The effects of 50 µg/ml cecropin 1 or 50 µM magainin II were also tested. 157 Untreated bacteria in LB medium were used as a control of growth (viability), and bacteria 158 treated with 100 µg/ml amikacin or ciprofloxacin were used as a positive control of growth 159 inhibition. MICs and CFU/ml were determined using the broth microdilution method 160 according to the guidelines of the Clinical and Laboratory Standards Institute (CLSI) or by 161 using the agar dilution method on Mueller-Hinton agar supplemented with 25 µg/ml 162 glucose-6-phosphate. Bacterial viability was expressed as a percentage relative to the 163 untreated control cells, and the mean and standard deviation are indicated. Statistical 164 analysis was conducted using the two-tailed Student's t test using SigmaPlot software 165 (version 11.0; Systat Software Inc., USA). A P value < 0.05 was considered statistically 166 significant. 167

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169 Electron microscopy

Bacterial cells $(1x10^8 \text{ UFC/ml})$ were treated with 20 μ M LFcin17-30, LFampin265-284 or LFchimera for 1 h at 37 °C. Processing was done according to Gomez de León et al (2014). For identification of the ultrastructural changes in the bacteria produced by exposure to the peptides, exposed bacteria were washed with PBS and then fixed with 2.5% glutaraldehyde for 60 min at room temperature (RT): Bacterial were washed three times with PBS (bacteria were pelleted by centrifugation at 1000 × g) and then post-fixed with 1% osmium tetroxide for 30 min at RT followed by 30 min at 4 °C. The pellet was washed three times with PBS Biochem, Cell Biol. Downloaded from www.nrcresearchpress.com by UNIVERSITY COLLEGE LONDON on 12/01/16 For personal use only. This Just-IN manuscript is the accepted manuscript prior to copy editing and page composition. It may differ from the final official version of record.

and then gradually dehydrated by incubation of the samples in increasing concentrations of 177 178 ethanol (from 50 to 100%) for 20 min at each step at RT with constant shaking. Bacterial pellets were gradually embedded at RT in Spurr's resin (EMS, Electron Microscopy 179 Sciences, Washington, DC): Spurr's resin mixed with LR White Embedding Medium at a 180 ratio of 1:1, 3:1, and lastly in 100% resin. Pellets were transferred to molds and 181 polymerized at 60°C for 48 h. Thin sections were obtained with an Ultracut R 182 ultramicrotome (Leica EM UC7, Mannheim, Germany), collected on copper grids, and 183 stained with uranyl acetate and lead citrate. Grids were observed and micrographed with a 184 transmission electron microscope (TEM) JEOL 1400X, (JEOL LTD, Japan) (Gomez de 185 186 Leon et al. 2014).

For immunolocalization of the peptides in the bacteria by IEM, pellets were washed 187 with PBS and fixed in a mixture of 4% paraformaldehyde and 0.1% glutaraldehyde in PBS 188 for 1 h at RT. Bacteria were postfixed with 0.01% OsO4 for 5 min at 4°C. Samples were 189 gradually dehydrated in ethanol and embedded in LR White resin (London Resin, 190 Polysciences, Inc. USA). Samples were polymerized overnight under ultraviolet light at 191 4°C in gelatin capsules. Thin sections were obtained with an Ultracut R ultramicrotome and 192 mounted on formvar-covered nickel grids. Immunolabeling was carried out at RT: to 193 minimize nonspecific labeling, grids were incubated with PBS containing 1% skim milk 194 and 0.05% Tween-20 (PBS-MT) for 30 min; sections were then incubated with anti-LF 195 polyclonal antibodies at a dilution 1:50 in PBS-MT for 1 h at RT or overnight at 4°C. Grids 196 were thoroughly washed with PBST (PBS 1 0.05% Tween-20) and then incubated for 2 h at 197 RT with a goat anti-rabbit polyclonal antibody coupled to 20 nm gold particles (Cardiff 198 WA, UK) (diluted 1:40 in PBS-T). Incubation with each antibody solution was performed 199 200 in a humidified chamber with intervening washes. After thorough washings in PBS and

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distilled water, sections were contrasted with 2% uranyl acetate and then examined by TEM. As negative controls, sections were incubated with the pre-immune rabbit serum diluted in PBS-MT and then with the secondary antibody coupled to gold particles.

225 Results and Discussion

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Lactoferricin17-30, lactoferrampin265-284 and LFchimera have antibacterial activity against enteroaggregative *Escherichia coli*

The capacity of LFcin17-30, LFampin265-284 and LFchimera to inhibit the growth of 229 EAEC strains was tested in LB medium. EAEC showed different susceptibilities to the 230 LFpeptides (Fig. 1). EAEC cells were most susceptible to the antibacterial effect of 231 LFchimera: at 2 h of exposure, 0 CFU/ml were detectable (Figure 1 panel C, arrowhead). 232 LFcin17-30 and LFampin265-284 had relatively little antibacterial effect on EAEC after 2 233 234 h of exposure, with both peptides demonstrating approximately 20% reduction in CFU/ml (Figure 1, Panel A and B; arrowheads) compared to untreated EAEC. The 235 chemotherapeutic drug ciprofloxacin and the peptide cecropin, which were used as controls 236 of growth inhibition, had antibacterial activity as expected. In addition, native bLF at 20 237 µM had significant antibacterial activity (data not shown). The inhibition of bacterial 238 growth by LFchimera was similar to that of ciprofloxacin (Fig. 1, panel C). The peptides 239 magainin II and amikacin had no effect on EAEC viability at the concentrations and 240 conditions used here (data not shown). 241

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The greater antimicrobial efficacy exhibited by LFchimera compared to its peptides of origin, LFcin17-30 and LFampin265-284 has been observed in other studies, including studies testing the antibacterial effects of these peptides against pathogenic microorganisms, such as Candida, the halophiles *V. parahaemolyticus* and *V. cholera, S. aureus, E. histolytica and E. coli* (Bolscher et al. 2012; Flores-Villasenor et al. 2012a; Flores-Villasenor et al. 2010; Leon-Sicairos et al. 2014; Leon-Sicairos et al. 2009; Lopez-

Soto et al. 2010; Puknun et al. 2016). LFchimera has also been reported to exert 249 250 antimicrobial activity against Burkholderia pseudomallei, Burkholderia thailandensis and Leishmania donovani (Puknun et al. 2013; Puknun et al. 2016; Silva et al. 2012; Silva et al. 251 2013). The high antimicrobial activity of LFchimera may be related to its structure, which 252 adopts a secondary conformation (three-dimensional) that resembles that of native bLF 253 (Bolscher et al. 2009). In addition, LFchimera contains two active domains of native bLF 254 (LFcin17-30 and LFampin265-284). It also has a higher net cationic charge (12+) at neutral 255 pH than LFcin17-30 (6+) or LFampin265-284 (4+) (Bolscher et al. 2012; Bolscher et al. 256 2009), and the highly cationic nature of LFchimera may confer multifunctional activity that 257 is higher than that of bLF, LFcin17-30 or LFampin265-284 (Bolscher et al. 2009; Flores-258 Villasenor et al. 2012b; Haney et al. 2012; Silva et al. 2012; Silva et al. 2013). 259

EAEC is an increasingly recognized cause of acute diarrhea among both children and 260 adults. Contamination of food and water plays a central role in its transmission. It has been 261 reported that human milk components may contribute to the defense of infants against 262 enteropathogens, such as EAEC (Nascimento de Araujo and Giugliano 2000; Nataro and 263 Kaper 1998). Similarly, it has been reported that hLF (purified from human milk), 264 recombinant hLF (rhLF), and bLF inhibit the aggregative adherence "stacked-brick pattern" 265 of EAEC in cultured cells (Ochoa et al. 2006). bLF also affects surface adhesins and 266 inhibits EAEC biofilm formation (Ochoa et al. 2006). However, clinical trials are needed to 267 determine if LF and its variants are able to cure or prevent EAEC infections. The results 268 presented here suggest that the antibacterial activities of LFpeptides, specifically 269 LFchimera, may provide an effective alternative for the treatment of EAEC infections. 270

Internalization of LF17-30, LFampin265-284 and LFchimera peptides within enteroaggregative *Escherichia coli* bacteria

In order to know if the antibacterial activity of LFpeptides depends on their interaction with 273 274 and penetration into bacteria, LFpeptides were immunolocalized by electron microscopy 275 (IEM). After 30 min of incubation LFcin17-30 (Panel B), LFampin265-284 (Panel C) and LFchimera (Panel D) can be found in the EAEC cytoplasm, and only a low proportion of 276 277 the peptides were visualized on the membrane. Interestingly, LFchimera showed a different pattern of distribution (Panel D) in EAEC. It was localized in molecular aggregates or 278 conglomerates, whereas LFcin17-30 (Panel B) and LFampin265-284 (Panel C) showed a 279 diffuse pattern of distribution in the cytoplasm. In conclusion, the three peptides are able to 280 translocate across the bacterial plasma membrane. 281

Antimicrobial peptides (AMPs) exist in a wide range of the multicellular organisms 282 including animals and plants, which suggests that the AMPs play very important roles in 283 basic protection against invading microorganisms. Natural AMPs have antibacterial 284 285 properties and exhibit anticancer activities (Lopez Cascales et al. 2014; Madani et al. 2011; Wallbrecher et al. 2014). Cationic antimicrobial peptides have been isolated from many 286 different organisms during the last decade. They vary in primary and secondary structure, 287 but have some common properties: they are short, most of them consisting of less than 45 288 amino acids, amphipathic, and carry a net positive charge (Last and Miranker 2013; Lopez 289 Cascales et al. 2014; Madani et al. 2011). A number of these peptides have been 290 291 extensively studied in order to elucidate their antimicrobial mode of action. Interaction with the bacterial cytoplasmic membrane is thought to be responsible for the bactericidal effect 292 of many cationic antimicrobial peptides. Different models for the bactericidal effect have 293 been proposed: it has been reported that peptides might form pores or act by thinning the 294 membrane or by destabilizing the membrane bilayer. For example, Cecropin P1 (Cec P1) is 295 296 most active against Gram-negative bacteria, killing these bacteria by increasing the Page 13 of 21

permeability of the membrane and lysis of the bacterial cell (Huang 2006; Last and 297 298 Miranker 2013; Lopez Cascales et al. 2014). Magainins are antimicrobial peptides isolated from the skin of the African clawed frog Xenopus laevis that also kill bacteria by 299 permeabilizing their membranes. D-Analogues of cecropins and magainins retain their 300 301 antibacterial effect, and hence their mode of action is not thought to be receptor-mediated (Ho et al. 2012; Last and Miranker 2013). The acting mechanisms of Mag 2 and Cec P1 are 302 limited exclusively to the cytoplasmic membrane. However, antimicrobial peptides have 303 also been reported to have intracellular targets such as DNA and RNA. Buforin II binds to 304 DNA and RNA, indolicidin inhibits DNA synthesis and to a lesser extent RNA synthesis, 305 and cecropin PR39 inhibits DNA and protein synthesis (Hartmann et al. 2010; Ho et al. 306 2012). These peptides also have effects on the outer and inner membranes of bacteria. It is 307 not known whether their bactericidal mode of action is due to their membrane effects, their 308 effects on intracellular targets, or a combination of these effects (Hartmann et al. 2010; Ho 309 et al. 2012). 310

It has been reported that the peptides Lfcin B 17-41 and Lfcin B 17-31 penetrate 311 through the membrane and into the cytoplasm of E. coli and S. aureus (Haukland et al. 312 2001). Notably, the identification and characterization of a 22-amino acid cell penetrating 313 peptide (CPP) corresponding to amino acids 19-40 of human lactoferricin has been reported 314 (Duchardt et al. 2009). This peptide exhibits conformation-dependent uptake efficiency that 315 is correlated with efficient binding to heparan sulfate and lipid-induced conformational 316 changes. The peptide contains a disulfide bridge formed by terminal cysteine residues 317 (Duchardt et al. 2009). The precise mode by which this peptide penetrates into the 318 cytoplasm is not fully elucidated, but human lactoferricin has been shown to cause 319 320 depolarization and loss of integrity of the cytoplasmic membrane and loss of the pH

gradient and to exert a bactericidal effect on E. coli (Haukland et al. 2001). LPS and TA 321 322 have been reported as the initial binding sites for Lfcin B, but Lfcin B neither lyses bacteria nor causes major leakage from liposomes. However, Lfcin B does depolarize the 323 membranes of susceptible bacteria and induces fusion of negatively charged liposomes. 324 Kinetic studies have shown that the lactoferricins have a slow bactericidal action, which 325 might indicate an intracellular target (Haukland et al. 2001). Ho et al (2012) demonstrated 326 that LFcin B inhibits the growth of bacteria by binding to and inhibiting the 327 phosphorylation of the two-component system response regulators BasR and CreB. 328 Therefore, reported data indicates that Lfcin B penetrates through the cell membrane and 329 330 has intracellular activities, and supports the idea that the bactericidal actions of at least some cationic antimicrobial peptides includes intracellular targets in addition to effects on 331 the cell membrane. 332

Regarding the results reported here (Fig 2), the cytoplasmic localization of the 333 synthetic LFpeptides in EAEC agrees with the premise that these peptides, like Lfcin B, 334 have intracellular targets. Importantly, the different distribution pattern of LFchimera 335 compared to LFcin17-30 and LFampin265-284 could partially explain the greater 336 antibacterial activity of LFchimera in EAEC and in other bacteria such as Vibrio spp, S. 337 aureus, EHEC and S. pneumoniae (Flores-Villasenor et al. 2010; Leon-Sicairos et al. 2014; 338 Leon-Sicairos et al. 2009). Perhaps, due to the potent and efficient killing activity of 339 LFchimera, bacteria are quickly damaged and destroyed, allowing DNA release from the 340 341 cell, and peptides are bound to the clustered DNA as a secondary event. This could explain the external molecular aggregates seen in the cultures exposed to LFchimera (see Fig 2 342 panel D). Notably, these extracellular clusters are not seen in bacteria exposed to LFcin17-343 344 30 or LFampin265-284 (see Fig 2 panels B and C), suggesting the possibility that Page 15 of 21

LFchimera is more efficient at disrupting the integrity of the cell membrane than LFcin17-346 30 or LFampin265-284. Additionally, once the peptides enter into bacteria it is likely, given 347 the different cytoplasmic distributions of the peptides, that the intracellular targets and 348 effects of LF chimera, LFcin17-30 and LFampin265-284 are different.

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Based on the present data, while we do not know the mechanism by which LFchimera enters into the bacteria, we can speculate that the antibacterial properties of these synthetic peptides are caused by their ability to disrupt the integrity of bacterial cell membranes and to penetrate into the bacteria and reach intracellular targets. Our results raise the possibility that LFchimera has potential as an alternative candidate in the treatment or prevention of infections caused by EAEC and other pathogenic bacteria.

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517 Figure 1. Antibacterial effects of LFchimera, LFcin17-30 and LFampin254-284 on 518 enteroaggregative *Escherichia coli*.

EAEC (10^7 CFU/ml) were treated with 40 μ M LFcin17-30 (Panel A), LFampin265-284 (Panel B) or LFchimera (Panel C) for 2 h at 37°C. The samples were then washed and processed to determine the CFU/ml. Untreated bacteria in LB medium were used as a control of growth (viability), and bacteria treated with 100 μ g/ml ciprofloxacin were used as control of growth inhibition. Bacterial viability was expressed as a percentage relative to the untreated control cells, and the mean and standard deviation are shown.

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Figure 2. Lactoferricin17-30, Lactoferrampin265-284 and Lactoferrin chimera penetrate into the cytoplasm of enteroaggregative *Escherichia coli*.

Micrograph (A) corresponds to EAEC bacteria that were untreated and processed to 528 preserve their ultrastructure. Panels B-D correspond to EAEC bacteria exposed to 20 µM of 529 LFcin17-30 (Panel B), LFampin265-284 (Panel C), or LFchimera (Panel D) for 1 h at 23 °C 530 and then immunolabeled with anti-lactoferrin polyclonal antibodies coupled to 20 nm gold 531 532 particles. Arrows indicate labeling with the gold particles. A diffuse distribution pattern (in the cytoplasm and membranes) is observed in EAEC treated with LFcin17-30 (B) or 533 LFampin265-284 (C). In contrast, molecular aggregates (mostly in the cytoplasm) are 534 535 observed in EAEC treated with LFchimera (D). Scale bars= 100 nm.

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