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# Harnessing Genomics for Antimicrobial Resistance Surveillance 1



## Evidence review and recommendations for the implementation of genomics for antimicrobial resistance surveillance: reports from an international expert group

Kate S Baker\*, Elita Jauneikaite\*, Jamie G Nunn, Janet T Midega, Rifat Atun, Kathryn E Holt, Kamini Walia, Benjamin P Howden, Heather Tate, Iruka N Okeke, Alessandra Carattoli, Li Yang Hsu, Katie L Hopkins, Dishon M Muloi, Nicole E Wheeler, David M Aanensen, Lewis C E Mason, Jonah Rodgus, Rene S Hendriksen, Sabiha Y Essack, Beverly Egyir, Alison L Halpin, Duncan R MacCannell, Josefina Campos, Padmini Srikantiah, Nicholas A Feasey, Sharon J Peacock, for the [SEDRIC Genomics Surveillance Working Group†](#)



Nearly a century after the beginning of the antibiotic era, which has been associated with unparalleled improvements in human health and reductions in mortality associated with infection, the dwindling pipeline for new antibiotic classes coupled with the inevitable spread of antimicrobial resistance (AMR) poses a major global challenge. Historically, surveillance of bacteria with AMR typically relied on phenotypic analysis of isolates taken from infected individuals, which provides only a low-resolution view of the epidemiology behind an individual infection or wider outbreak. Recent years have seen increasing adoption of powerful new genomic technologies with the potential to revolutionise AMR surveillance by providing a high-resolution picture of the AMR profile of the bacteria causing infections and providing real-time actionable information for treating and preventing infection. However, many barriers remain to be overcome before genomic technologies can be adopted as a standard part of routine AMR surveillance around the world. Accordingly, the Surveillance and Epidemiology of Drug-resistant Infections Consortium convened an expert working group to assess the benefits and challenges of using genomics for AMR surveillance. In this Series, we detail these discussions and provide recommendations from the working group that can help to realise the massive potential benefits for genomics in surveillance of AMR.

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\*Contributed equally

†Members are listed in the appendix (pp 1–3)

### Background

Tackling antimicrobial resistance (AMR) is one of the most important health challenges of the 21st century. AMR already causes substantial morbidity and mortality worldwide, with a recent estimate suggesting that it was the attributable cause of death for approximately 1·27 million people in 2019.<sup>1</sup> This toll is expected to rise in the coming decades, with estimates that as many as ten million people could die each year as a result of AMR.<sup>2</sup> AMR also carries a substantial economic burden through direct health-care costs and loss of productivity, with one estimate suggesting that AMR costs more than US\$4·6 billion annually in the USA alone.<sup>3</sup>

In 2015, WHO adopted a Global Action Plan on AMR, which included objectives in areas such as improving awareness of AMR, reducing disease incidence through sanitation, hygiene, and infection control measures, optimising antimicrobial stewardship, and developing sustainable investment models for new medicines, diagnostics, vaccines, and other interventions. One of the key objectives was to strengthen the knowledge and evidence base around AMR through increased research and surveillance (defined here as systematic data collection to inform action).<sup>4</sup> As a result, there is substantial momentum in building bacterial isolate-based AMR surveillance around the world, including the development of national action plans and submission of

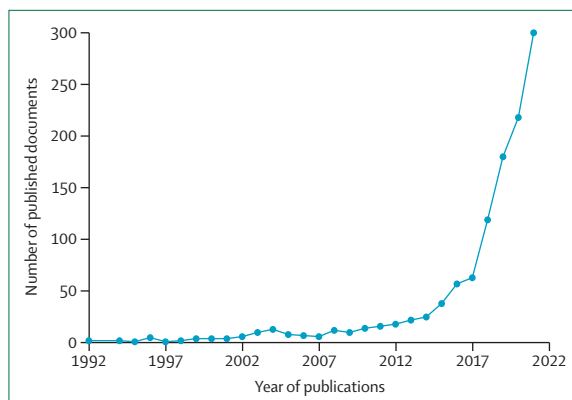
global data to the WHO Global Antimicrobial Surveillance System (GLASS), initiated in 2018.

Although GLASS has previously considered the relevance of genomics in AMR surveillance,<sup>5</sup> the COVID-19 pandemic has since transformed the global disease surveillance landscape, particularly with respect to genomic surveillance. The period between March, 2020, and December, 2022, saw the generation of nearly 14 million SARS-CoV-2 genomes from 215 countries, which helped to inform global public health responses. The concept of a variant of concern is now established in the public lexicon, and health policy makers increasingly appreciate the potential of genomic surveillance to provide a high-resolution picture of the transmission dynamics and evolution of microbial pathogens that inflict substantial public health burden. Expansion in genomic capacity, combined with evidence for the usefulness of genomic surveillance of AMR over the past two decades (figure 1),<sup>5,6</sup> demonstrate the feasibility and timeliness of adopting this technology as an essential part of routine surveillance programmes. The time is therefore right to build on the political and public understanding and willingness to invest in surveillance capacities to tackle global AMR.

Accordingly, the Surveillance and Epidemiology of Drug-resistant Infections Consortium (SEDRIC) convened a working group to review the evidence base of

Department of Clinical Infection, Microbiology and Immunology (Prof K S Baker PhD) and Institute of Infection, Veterinary and Ecological Sciences (D M Muloi PhD), University of Liverpool, Liverpool, UK; Department of Genetics (Prof K S Baker) and Department of Medicine (Prof S J Peacock PhD), University of Cambridge, Cambridge, UK; Department of Infectious Disease Epidemiology, School of Public Health, Imperial College London, London, UK (E Jauneikaite PhD); NIHR Health Protection Research Unit in Healthcare Associated Infections and Antimicrobial Resistance Department of Infectious Disease, Imperial College London, Hammersmith Hospital, London, UK (E Jauneikaite, J Rodgus MRes); Infectious Disease Challenge Area (J G Nunn MSc) and Drug Resistant Infections (J T Midega PhD), Wellcome Trust, London, UK; Harvard T H Chan School of Public Health, Harvard University, Boston, MA, USA (Prof R Atun FRCP);

Department of Infection Biology, London School of Hygiene & Tropical Medicine, London, UK (Prof K E Holt PhD); Department of Infectious Diseases, Central Clinical School, Monash University, Melbourne, VIC, Australia (Prof K E Holt); Division of Epidemiology and Communicable Diseases, Indian Council of Medical Research, Ansarinagar, New Delhi, India (K Walia PhD); The Centre for Pathogen Genomics, Doherty Institute, The University of Melbourne, Melbourne, VIC, Australia (Prof B P Howden PhD); Office of Research, US Food and Drug Administration Center for Veterinary Medicine, Laurel, MD, USA (H Tate PhD); Department of Pharmaceutical Microbiology, Faculty of Pharmacy, University of Ibadan, Ibadan, Oyo State, Nigeria (Prof I N Okeke PhD); Department of Molecular Medicine, University of Rome La Sapienza, Rome, Italy (Prof A Carattoli PhD); Saw Swee Hock School of Public Health and Yong Loo Lin School of Medicine, National University of Singapore, Singapore (Prof L Y Hsu MPH); HCAI, Fungal, AMR, AMU, and Sepsis Division and Antimicrobial Resistance and Healthcare Associated Infections Reference Unit, UK Health Security Agency, London, UK (K L Hopkins PhD); Animal and Human Health Department, International Livestock Research Institute, Nairobi, Kenya (D M Muloi); Institute of Microbiology and Infection, University of Birmingham, Birmingham, Edgbaston, UK (N E Wheeler PhD); Centre for Genomic Pathogen Surveillance, Nuffield Department of Medicine, University of Oxford, Big Data Institute, Oxford, UK (Prof D M Aanensen PhD); NIHR Health Protection Research Unit in Gastrointestinal Infections, Department of Clinical Infection, Microbiology, and Immunology, University of Liverpool, Liverpool, UK (L C E Mason MSc); National Food Institute, Technical University of Denmark, Kongens Lyngby, Denmark (Prof R S Hendriksen PhD); Antimicrobial Research Unit,



**Figure 1: The increasing evidence base for the use of genomics in surveillance of antimicrobial resistance**

The release date of 1162 publications between 1992 and 2021 retrieved by the following search terms in Scopus: (TITLE-ABS-KEY ("AMR" OR "antibiotic" AND "resistan\*" OR "antimicrobial" AND "resistan\*") AND TITLE-ABS-KEY ("genomic\*") AND TITLE-ABS-KEY ("surveillance" OR "monitoring").

the benefits and challenges to using genomics for AMR surveillance, and to generate recommendations that could lead to effective implementation.

### How was the working group conducted?

The working group held a series of four workshops with nearly 100 international experts from across the AMR and pathogen genomics fields (appendix pp 1–3), which was followed by a broader community consultation through an online survey (appendix pp 8–10). Group members were identified through a combination of searching the SEDRIC membership list, literature survey, other online content (eg, grey literature and research profiles), and suggestions from the steering group and core members, being conscious to attain the required expertise and be diverse with respect to geography, gender, ethnicity, and career stage. A subset of core members with collective expertise across the domains attended all workshops and bookend meetings to shape the conduct and outputs from the workshops (figure 2, appendix pp 1–3).

The first three workshops were on the application of genomics to isolate-based surveillance across different surveillance domains: hospital-based surveillance; public health and international surveillance; and surveillance at One Health interfaces. The breadth of potential surveillance domains led the group to limit the scope to bacterial AMR surveillance, excluding *Mycobacterium tuberculosis* for which genomic surveillance is already comparatively well established.<sup>7</sup> Workshops 1–3 each comprised two parts. Part 1 was a landscape analysis of the application of genomics for AMR surveillance, a discussion on the value of genomics in the domain, and the development of rated consensus statements from those discussions (appendix pp 4, 11–13). Part 2 guided working group members to develop stakeholder owned recommendations for realising the potential of genomics

for AMR in the domain, which were then prioritised by polling (appendix pp 5–6, 11–13). A list of stakeholders was predefined for consideration by working group members, with encouragement to include un-nominated stakeholder groups (appendix p 6). A final workshop considered preselected innovations in genomics for which surveillance would not be based on the sequencing of individual isolates and implementation is farther from routine. Specifically, these preselected innovations were clinical metagenomics; environmental metagenomics; gene and plasmid-based tracking; and machine learning. Participants discussed the potential improvements brought by each of these innovations and the vision for implementation, followed by the development of specific recommendations (appendix p 7).

The outcomes from workshops were then used to develop a consolidated position with the core working group and then broader opinion was invited through a community survey (appendix pp 8–10). The survey was disseminated electronically via social media channels and over email within group member networks. In total, 160 professionals from the AMR community completed the survey (figure 2), and their responses broadly reflected agreement with the working group (appendix pp 10–13). In summary, the SEDRIC working group developed a series of views and prioritised recommendations for the use of genomics for AMR surveillance<sup>8</sup> that captured expert opinion in the field.

### What did the working group find?

Nine recommendations for harnessing genomics for AMR surveillance are proposed by the group (panel), which are expanded on in four individual workshop reports in this Series.<sup>9–12</sup> Although we have endeavoured to avoid repetition across the four reports, some common themes emerged in terms of advantages and challenges of genomic surveillance of AMR across the workshops and these are summarised here.

#### Advantages of genomic surveillance of AMR

Genomic AMR surveillance was considered by the working group to offer many advantages over current approaches. Genomics enables finely resolved tracking of antimicrobial resistant pathogens at the individual strain level, while the electronic nature of most of the analytic processes downstream of sequencing offers advantages for many aspects of data handling, including sharing, storage, and quality assurance. Although these features are common to genomic surveillance of all pathogens, there are several advantages that are distinct for genomic surveillance of antimicrobial-resistant bacteria. These include the ability to assay for genotypes relating to resistance against multiple classes of antimicrobial in parallel; the ability to establish whether AMR has emerged in a previously circulating lineage or represents expansion of a new lineage; and the ability to identify the genetic basis of resistance. Identifying the genetic basis for resistance is

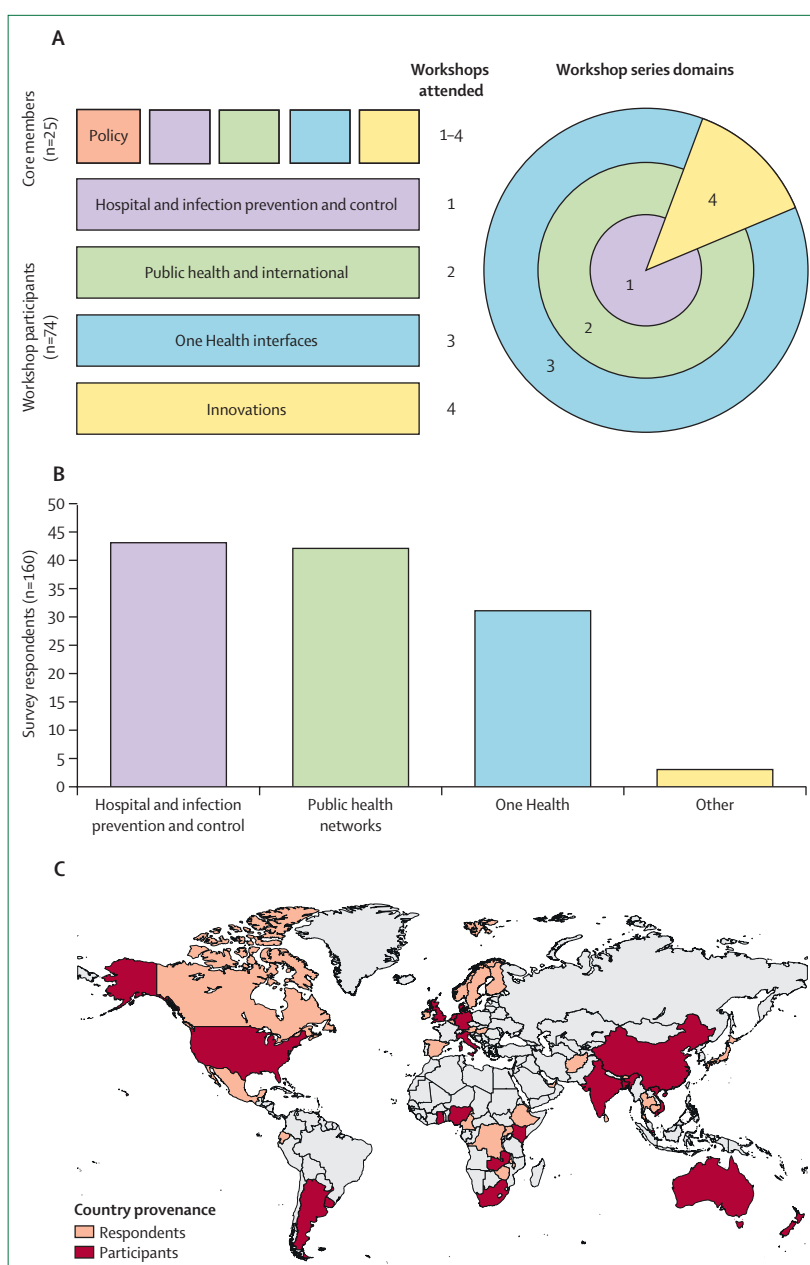
important as it can support outbreak linkage and has future potential to predict the capacity for the spread of AMR (eg, whether the resistance is encoded by chromosomal mutations or by acquired resistance genes). Furthermore, establishing and strengthening an adaptable genomic AMR surveillance infrastructure contributes to pandemic preparedness efforts both by monitoring for new microbial threats and ensuring that adequate facilities and a trained pathogen genomics workforce are available should a new pandemic pathogen emerge.

### Applications of genomic surveillance of AMR

The applications for genomic AMR surveillance differed by domain. Briefly, a growing evidence base exists for the use of genomics for AMR surveillance in hospital settings to support the detection of outbreaks and provide actionable information to infection prevention and control teams. Genomic insights can also inform clinical decision making at a patient level, although this aspect is comparatively less well developed at present and many challenges remain (eg, cost-effectiveness evaluations and reductions in turnaround times; see the second paper in this Series<sup>9</sup>). At a public health level, the detection of emerging threats and the design and assessment of suitable interventions, particularly around supporting treatment recommendations and shaping vaccine formulations, has been well established (see the third paper in this Series<sup>10</sup>). The use of genomics for AMR surveillance at One Health interfaces has similar applications and is already operating effectively for foodborne diseases in some regions (see the third and fourth papers in this Series<sup>10,11</sup>). However, further applications in transmission risk assessment frameworks, and exploiting environmental monitoring were also identified in the One Health surveillance domain (see the fourth paper in this Series<sup>11</sup>). A major finding of the group was the need to define a framework for the application of genomics in AMR surveillance and to identify and advocate for potential use cases. Therefore, each workshop report highlights some of the key applications relevant to each domain.

### Challenges of genomic surveillance of AMR

The common framework used for each workshop enabled the group to reflect on the shared and distinct barriers to the use of genomics for AMR surveillance. Common issues included a lack of resources and political will, underlining the importance of clear use cases and advocacy in parallel with robust cost-effectiveness studies, and the need for more training, particularly around bioinformatics. The hospital and infection prevention and control workshop explored many of the basic practical barriers associated with establishing genomic surveillance; including a lack of meaningful epidemiological surveillance or microbiology infrastructure, poor supply chains and pricing structures, and issues around cooperating effectively in hub and spoke models. Major difficulties in the public health and



**Figure 2: Composition and workflow of the working group**

(A) The core working group (mixed expertise) and workshop participants (drawn on for domain expertise) participated in a series of three nested workshops relating to those domains (1–3), and one cross-cutting, workshop (4), coloured according to the inlaid labels. (B) Consensus statements and recommendations developed from the workshops were then put to a larger set of members of the antimicrobial resistance community with similar domain expertise. (C) Expertise was drawn from a diverse geographical range.

international sphere were the need to build trust and cooperation among stakeholders and work towards harmonised surveillance underpinned by strong data governance. Finally, the challenges facing surveillance at One Health interfaces reflected the even more complex set of relationships required to define common goals and cooperate across national ministries and public and private

University of KwaZulu-Natal,  
Durban, South Africa  
(Prof SY Essack PhD);  
Department of Bacteriology,  
Noguchi Memorial Institute for  
Medical Research, University of  
Ghana, Legon-Accra, Ghana  
(B Egyir PhD); Division of



Healthcare Quality Promotion  
(A L Halpin PhD) and Office of  
Advanced Molecular Detection  
(D R MacCannell PhD), US  
Centers for Disease Control and  
Prevention, Atlanta, GA, USA;  
National Center of Genomics  
and Bioinformatics, ANLIS  
Malbran, Buenos Aires,  
Argentina (J Campos BSc);  
Global Health Division, Bill &  
Melinda Gates Foundation,  
Seattle, WA, USA  
(P Srikanthiah MD); Clinical  
Sciences, Liverpool School of  
Tropical Medicine, Liverpool,  
UK (Prof N A Feasey PhD);  
Malawi Liverpool Wellcome  
Research Programme, Blantyre,  
Malawi (Prof N A Feasey)

Correspondence to:  
Prof Kate Baker, Department of  
Genetics, University of  
Cambridge, Cambridge CB2 3EH,  
UK  
kb827@cam.ac.uk

For the SARS-CoV-2 genome  
database see [https://gisaid.org/  
submission-tracker-global/](https://gisaid.org/submission-tracker-global/)

For information on SEDRIC see  
[www.sedric.org.uk](http://www.sedric.org.uk)

See Online for appendix

### Panel: Prioritised recommendations from the working group

#### 1) Define a framework for use at all levels

The aims, actions, and outcomes of genomic antimicrobial resistance (AMR) surveillance data need to be clearly defined at all levels; for example, clinical applications rely on robust inference of phenotype from genotype, while clearly defined risk mitigations are needed for One Health.

#### 2) Build capacity, including in hub and spoke models

The cost-effectiveness of genomics improves with throughput but differs markedly by geographical region. These barriers can be partly overcome by initiating genomics in regional hub and spoke models to centralise training, infrastructure, and supply chains.

#### 3) Develop new training competencies

Competencies in genomic epidemiology are required for health scientists conducting genomic AMR surveillance either as a new workforce, developing and delivering training for existing staff categories, or both.

#### 4) Harmonise and standardise surveillance

Agree a common, abbreviated list of bug-drug combinations also informed by local needs; develop clinical standards; support pathogen-specific expert review groups for interpretation guidelines; and develop a single access user portal. Agree sampling frameworks for One Health.

#### 5) Agree equitable data sharing and governance

Benefits are maximised with open, immediate data sharing, but concerns exist around stigmatisation and inequitable data contribution and use. Robust governance is crucial, and should be based on lessons from SARS-CoV-2 and in line with the WHO global genomic surveillance strategy.

#### 6) Improve stakeholder interactions and relationships

Improved trust, communication, and partnerships among stakeholders are particularly important for network and

One Health surveillance. Policy makers need to define key questions. Researchers and health deliverers should consolidate and advocate clear use cases.

#### 7) Address funding models and evaluate cost-effectiveness

Funding models are needed for research and capacity-building programmes, surveillance implementation, and continuous improvement, particularly for One Health surveillance with a breadth of stakeholders. Real-time cost-effectiveness studies are needed.

#### 8) Invest in AMR genomic surveillance innovations

Genomic surveillance innovations (clinical and environmental metagenomics, gene or plasmid tracking, and machine learning) offer advantages, but research to address the common barrier of an uncharacterised association with health outcomes is needed.

#### 9) Better integrate environmental surveillance

The environment is an under-surveyed potential source of AMR genes. Examples from agriculture where a direct impact of AMR surveillance and interventions have been characterised need to be built on and expanded.

Although many of these themes are cross-cutting across domains, each is given focus in one or more subsequent workshop reports, which should be accessed for more information. Specifically, the second paper in this Series<sup>9</sup> focuses on recommendations 1–3, the third paper in this Series<sup>10</sup> on recommendations 1, 2, and 4–6, the fourth paper in this Series<sup>11</sup> on recommendations 1 and 7, and the fifth paper in this Series<sup>12</sup> on recommendations 8 and 9.

sectors, which underlined the need to predefine how surveillance information would be used.

### Where to from here?

Since the clinical introduction of antimicrobials in the 1940s, it has become clear that we will remain locked in an ongoing arms race with bacterial pathogens indefinitely. The generation of actionable AMR surveillance data, particularly at the resolution offered by genomics, will provide invaluable information to support efforts to limit the spread and impact of AMR.

Many of the nine recommendations made by the working group overlap between isolate-based AMR surveillance and pathogen genomic surveillance more generally (eg, recommendation four: harmonise and standardise surveillance), highlighting the interconnected nature of this work and the areas from which common solutions to harnessing genomic surveillance for AMR

might be drawn. For example, similar themes around recommendation five (agree equitable data sharing and governance) are seen in the recent WHO recommendations on pathogen genomic data sharing that were released during the conduct of the working group.<sup>10,13</sup>

Ultimately, the working group recommends these nine activities as central to achieving the potential of genomics for AMR surveillance. Their relative ordering and importance differed by domain and geographical setting, which is further elaborated on in individual workshop reports (panel). These recommendations should guide ongoing and new discussion among stakeholders in the AMR genomic surveillance space, including those in genomics and AMR research, technology development, bioinformatics, clinical and public health roles, funding, education, and policy (appendix p 6). We are on the cusp of realising the full potential for genomics in tackling AMR, but much work is still needed.

## Contributors

SJP, NAF, KSB, EJ, JGN, and JTM conceived the study. KSB, EJ, JGN, JR, and LCEM curated all data. KSB, EJ, JGN, and LCEM did the formal analysis. SJP, NAF, JGN, and JTM did the funding acquisition. SJP, NAF, KSB, EJ, JGN, JTM, LCEM, and JR did the investigation. SJP, NAF, KSB, EJ, LCEM, and JR did all methodology. SJP, NAF, KSB, EJ, JGN, and JTM did the project administration. SJP, NAF, KSB, and EJ supervised. KSB visualised the data and wrote the original draft. All authors reviewed and edited the manuscript and participated and engaged in the workshops.

## Declaration of interests

KSB reports funding from the Biotechnology and Biological Sciences Research Council and Medical Research Council and partial salary cover from Wellcome Trust and the UK Health Security Agency (UKHSA) over the course of this work. EJ had partial salary cover from Wellcome Trust over the course of this work. RA reports funding unrelated to this study from Novo Nordisk, Roche, Novartis, and UICC, and honoraria (unrelated to this study) from Merck & Co, Novartis, and F Hoffmann-La Roche. BE and INO report receiving funding from the UK Department of Health and Social Care: with a grant managed by the Fleming Fund and work performed under the auspices of the SEQAFRICA project. INO reports funding from the Bill & Melinda Gates Foundation, Joint Programming Initiative in Antimicrobial Resistance, Wellcome Trust, Grand Challenges Africa Award, and UK Medical Research Council, royalties for *Genetics: Genes, Genomes and Evolution* (Oxford University Press) and *Divining Without Seeds and for Antimicrobial Resistance in Developing Countries* (Springer), consulting fees from Wellcome Trust, and honoraria for Harvard University seminars and Peter Wildy Lecture Award 2023. LYH reports funding from Pfizer and honoraria from BioMérieux for a lecture in 2022. DMM reports funding from the British Society for Antimicrobial Chemotherapy. NEW reports funding from Nuclear Threat Initiative, Medical Research Council, Open Philanthropy, and Shionogi as well as consulting fees from Nuclear Threat Initiative. DMA reports funding from the National Institute for Health and Care Research. NAF reports funding from the Bill & Melinda Gates Foundation, UK Research and Innovation, and National Institute for Health and Care Research. SJP is a member of the scientific advisory board of Next Gen Diagnostics and was supported by Illumina to attend the European Congress of Clinical Microbiology and Infectious Disease conference. All other authors declare no competing interests.

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Stoneygate Trust. EJ and JR are affiliated with the National Institute for Health Research Health Protection Research Unit in Healthcare Associated Infections and Antimicrobial Resistance at Imperial College London in partnership with the UKHSA (formerly Public Health England), in collaboration with Imperial Healthcare Partners, the University of Cambridge, and the University of Warwick. The views expressed are those of the authors and not necessarily those of the National Health Service, the National Institute of Health and Care Research, the Department of Health and Social Care, or the UKHSA.

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## Supplementary appendix

This appendix formed part of the original submission and has been peer reviewed.  
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## Appendix to harnessing genomics for the surveillance of antimicrobial resistance

### Supplement 1 Individuals in the SEDRIC Genomics Surveillance Working Group

Role	Name	Institute
Co-leads	Professor Kate S Baker	University of Liverpool
	Dr Elita Jauneikaite	Imperial College London
Steering group	Professor Nicholas A Feasey	Liverpool School of Tropical Medicine
	Professor Sharon J Peacock	University of Cambridge
	Dr Janet T Midega	Wellcome Trust
	Mr Jamie G Nunn	Wellcome Trust
Core members	Professor David M Aanensen	University of Oxford
	Dr Josefina Campos	ANLIS Malbran
	Professor Alessandra Carattoli	University of Rome La Sapienza
	Dr Beverly Egyir	Noguchi Memorial Institute for Medical Research, University of Ghana
	Professor Sabiha Y Essack	University of KwaZulu-Natal
	Dr Alison L Halpin	US Centers for Disease Control And Prevention
	Professor Rene S Hendriksen	Technical University of Denmark
	Professor Kathryn E Holt	London School of Hygiene and Tropical Medicine
	Dr Katie L Hopkins	UK Health Security Agency
	Professor Benjamin P Howden	Doherty Institute, The University of Melbourne
	Associate Professor Li Yang Hsu	National University of Singapore
	Dr Duncan R MacCannell	Centers for Disease Control and Prevention
	Dr Dishon M Muloi	International Livestock Research Institute
	Professor Rifat Atun	Harvard University
	Professor Iruka N Okeke	University of Ibadan
	Dr Padmini Srikantiah	Bill & Melinda Gates Foundation
	Dr Heather Tate	US Food and Drug Administration Center for Veterinary Medicine
	Dr Kamini Walia	Indian Council of Medical Research
	Doctor Nicole E Wheeler	University of Birmingham
Supported survey	Mr Lewis C E Mason	NIHR HPRU in Gastrointestinal Infections at University of Liverpool
	Mr Jonah Rodgus	NIHR HPRU in Healthcare Associated Infections and Antimicrobial Resistance at Imperial College London
Workshop participants and/or rapporteurs	Professor Tong Zhang	The University of Hong Kong
	Professor Frank M Aarestrup	Technical University of Denmark
	Associate Professor Akebe LK Abia	University of Kwazulu-Natal
	Dr Daniel G Amoako	National Institute for Communicable Diseases, South Africa
	Professor Muna F Anjum	Animal and Plant Health Agency



Professor Martin Antonio	Medical Research Council Unit The Gambia at London School of Hygiene & Tropical Medicine, The Gambia; Centre for Epidemic Preparedness and Response, London School of Hygiene & Tropical Medicine, London, UK Department of Infection Biology, Faculty of Infectious and Tropical Diseases, London School of Hygiene & Tropical Medicine, London, UK
Silvia Argimon	University of Oxford
Professor María E Báez-Flores	Universidad Autónoma de Sinaloa
Mr Emmanuel I Benyeogor	Nigeria Centre for Disease Control
Professor Carlos CC Bezuidenhout	North-West University, South Africa
Dr Josephine M Bryant	Wellcome Sanger Institute
Dr Leonid Chindelevitch	Imperial College London
Dr Daniela Maria Cirillo	IRCCS San Raffaele Scientific Institute
Dr Felipe J Colón-González	Wellcome Trust
Associate Professor Adrian L Cookson	AgResearch Limited
Dr Edward Cunningham-Oakes	Institute of Infection, Veterinary and Ecological Sciences, University of Liverpool
Professor Xavier Didelot	University of Warwick
Dr Dorcas O Fatoba	University of KwaZulu-Natal
Dr Luria L Founou	Research Institute of the Centre of Expertise and Biological Diagnostic of Cameroon (CEDBCAM-RI)
Professor William H Gaze	University of Exeter
Dr Maria Getino	NIHR HPRU in Healthcare Associated Infections and Antimicrobial Resistance at Imperial College London
Dr Heather L Glasgow	St Jude Children's Research Hospital
Professor Bruno Gonzalez-Zorn	Complutense University of Madrid
Professor Lindsay J Hall	Quadram Institute Bioscience
Dr Simon R Harris	Bill & Melinda Gates Foundation
Dr James Hatcher	Great Ormond Street Hospital for Children
Dr Randall T Hayden	St Jude Children's Research Hospital
Professor Mark A Holmes	University of Cambridge
Dr Gregorio Iraola	Institut Pasteur Montevideo
Dr Claire Jenkins	United Kingdom Health Security Agency
Dr Michelle R Kasudi	International Livestock Research Institute
Professor Andreas Keller	Saarland University
Associate Professor Gwenan M Knight	London School of Hygiene and Tropical Medicine
Miss Rebecca Knowles	Wellcome Trust
Dr John A Lees	EMBL's European Bioinformatics Institute
Dr Stephanie W Lo	Wellcome Sanger Institute
Dr Bilal A Mateen	Wellcome Trust
Professor Alison E Mather	Quadram Institute Bioscience; University of East Anglia

	Dr Catrin E Moore	Centre for Neonatal and Paediatric Infection, St George's, University of London
	Dr Mirfin M Mpundu	ReAct Africa
	Associate Professor Lawrence LM Mugisha	Makerere University
	Dr Lillian A Musila	United States Army Medical Research Directorate - Africa
	Professor Niranjan Nagarajan	Genome Institute of Singapore
	Dr John HE Nash	Public Health Agency of Canada
	Associate Professor Oon Tek Ng	National Centre for Infectious Diseases
	Mr Erkison E Odih	University of Ibadan
	Dr Uduak Okomo	MRC Unit The Gambia at London School of Hygiene & Tropical Medicine
	Associate Professor Sally R Partridge	The Westmead Institute of Medical Research, The University of Sydney, Westmead Hospital
	Prof Steve Paterson	University of Liverpool
	Dr Alejandro Petroni	'Servicio Antimicrobianos, Instituto Nacional de Enfermedades Infecciosas, ANLIS "Dr Carlos G. Malbrán", Buenos Aires, Argentina
	Dr Vivien Price	University of Liverpool
	Dr James R Price	Brighton and Sussex Medical School, University of Sussex
	Professor Stuart W J Reid	Royal Veterinary College
	Professor John WA Rossen	Isala Hospital
	Dr Marie-Claire Rowlinson	New York State Department of Health, Wadsworth Center
	Dr Senjuti Saha	Child Health Research Foundation
	Dr Leonor Sánchez-Busó	Foundation for the Promotion of Health and Biomedical Research in the Valencian Community (FISABIO-Public Health)
	Professor Stefan Schwarz	Freie Universität Berlin
	Dr Andrew C Singer	UK Centre for Ecology & Hydrology
	Dr Shweta R Singh	National University of Singapore
	Dr David A Singleton	University of Liverpool
	Professor Vitali Sintchenko	University of Sydney
	Associate Professor Nicole Stoesser	University of Oxford
	Mr Muhammad Farooq Tahir	Food and Agriculture Organization of the United Nations, Pakistan
	Dr Kara K Tsang	London School of Hygiene and Tropical Medicine
	Associate Professor H Rogier van Doorn	University of Oxford
	Dr Matthew J Wade	UK Health Security Agency
	Professor Deborah A Williamson	University of Melbourne
	Professor Dorothy Yeboah-Manu	Noguchi Memorial Institute for Medical Research, University of Ghana
	Professor Ruth N Zadoks	University of Sydney